Docket No.

242882US3CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akira MATSUI, et al.

SERIAL NO: New Application

GAU:

Unassigned

FILED:

Herewith

EXAMINER: Unassigned

FOR:

BASE, DRUM, AND DRUM MOUNTING UNIT FOR MAGNETIC RECORDING REPRODUCING

APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are NOT attached, because they were previously submitted in parent application No. 09/812,547, filed March 21, 2001.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIVAK, McCLELLAND. MAIER & NBUSTADT

Eckhard H. Kuesters

Registration No. 28,870

ran

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) J:\ATTY\ZS\24's\242882US\242882 ips.poc Docket No.

242882US3CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akira MATSUI, et al.

SERIAL NO: New Application

GAU:

Unassigned

FILED:

Herewith

EXAMINER: Unassigned

FOR:

BASE, DRUM, AND DRUM MOUNTING UNIT FOR MAGNETIC RECORDING REPRODUCING

APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are NOT attached, because they were previously submitted in parent application No. 09/812,547, filed
March 21, 2001.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present
application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s)
is attached along with PTO 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in a communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER

Eckhard H. Kuesters

Registration No. 28,870

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) I:\aTTY\ZS\24'S\242882US\242882 iDS.DOC

Value Valu	Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO.		SERIAL NO.			
LIST OF REFERENCES CITED BY APPLICANT FILING DATE FILING DATE Herewith Unassigned	(Modified)		FATENT AND TRA	DEMARK OFFICE	242882US3CONT	New Application				
Filing DATE		0===	CENOCO OITED DV A	DUICANT	Akira MATSUI, et al.					
Herewith Unassigned Unassigned U.S. PATENT DOCUMENTS U.S. PATENT DOC	LISTOF	KEFE	RENCES CITED BY AF	PPLICANT						
DOCUMENT NAME CLASS SUB FAPPROPRIATE										
DOCUMENT NAME CLASS SUB FILING DATE NAME CLASS SUB FILING DATE CLASS CLASS CLASS										
NUMBER					U.S. PATENT DOCUMENTS					
AB				DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE			
AC 5,021,908 06-1991 MORIMOTO ET AL.		AA	2001/0013995	08-2001	JEONG					
AD 5,831,796 11-1998 NISHIDA		AB	4,814,917	03-1989	NONOYAMA					
AE		AC	5,021,908	06-1991	MORIMOTO ET AL.					
AF		AD	5,831,796	11-1998	NISHIDA					
AG		AE								
AH		AF								
AI AJ AJ AK AK AL AL AM AN		AG			_					
AJ AK AL AL AM AN AN AN COUNTRY FOREIGN PATENT DOCUMENTS TRANSLATION YES NO AO AO AP AQ AQ AR AR AR AS AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ AZ AZ AZ AZ AZ AZ AZ AZ		AH								
AK AL AL AM AN AN FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS TRANSLATION YES NO AO AP AP AQ AQ AR AR AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AY AZ AY AY AZ AG		ΑI								
AR AN FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS TRANSLATION YES NO AO AP AP AN		AJ								
AM AN FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO AO A		AK								
FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO		AL								
FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY TRANSLATION YES NO		AM								
DOCUMENT NUMBER AO AO AP AQ AQ AR AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) OTHER References sheet(s) attached AX AY AZ Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AN								
NUMBER AO AP AQ AR AS AT AU OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AZ AZ AZ AZ AZ AZ AZ AZ AZ				FO	REIGN PATENT DOCUMENTS					
AP AQ AQ AR AR AS AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in				DATE	COUNTRY					
AQ AR AR AS AS AT AU AU OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AY AZ		AO								
AR AS AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Background Additional References sheet(s) attached Examiner Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AP								
AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AQ								
AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ AZ AZ Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AR								
AU OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AS				-				
AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AT								
AX AY AZ Examiner *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AU								
AX AY AZ AZ AZ AZ AZ Additional References sheet(s) attached Examiner Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AV								
AX AY AZ Additional References sheet(s) attached Examiner Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in			OTHER R	EFERENCES (Including Author, Title, Date, Pertinen	t Pages, e	tc.)	_		
AZ AZ Additional References sheet(s) attached Examiner Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AW								
AZ Additional References sheet(s) attached Examiner Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AX					Lie.			
Examiner Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AY								
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AZ				Addi	tional Refe	rences	sheet(s) attached	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	Examiner	Examiner Date Considered								
	*Examiner: In	itial if r	eference is considered	, whether or no	t citation is in conformance with MPEP 6 with next communication to applicant.	09; Draw li	ne through	citation	if not in	